

## Part A: Grant Applicant Profile

**Table 1: Grant Applicant Information Profile**

<b>Legal Name of Applicant Organization</b>		Unified Government of Athens-Clarke County
<b>Physical Address</b>		301 College Ave, Athens, Georgia, 30601
<b>Mailing Address Note: Correspondence should be mailed to the Transit Department Mailing address</b>		301 College Ave, Athens, Georgia, 30601 ACC Transit Department address: 775 East Broad St. Athens, Ga 30601
<b>Organization Type</b>		<input checked="" type="checkbox"/> County Government <input type="checkbox"/> City Government <input type="checkbox"/> Regional Commission <input type="checkbox"/> Non-Profit Organization <input type="checkbox"/> Other (Specify) _____
<b>Authorized Grant Submitter</b>  (This should also be the person where questions about this application are to be directed)	<b>Name</b>	Butch McDuffie
	<b>Title</b>	Transit Director
	<b>Phone #</b>	706-613-6432
	<b>Email</b>	Butch.McDuffie@accgov.com
<b>DUNS #</b>		828208368
<b>E-Verify #</b>		47452
<b>Congressional District(s)</b>		10
<b>Authorized Official who will Execute the Contract</b>	<b>Name</b>	Nancy Denson
	<b>Title</b>	Mayor-Unified Government of Athens-Clarke County
	<b>Phone #</b>	706.613.3010
	<b>Email</b>	Nancy.B.Denson@accgov.com
<b>Designated Staff Person who will Attest the Executing Official's Signature and Affix the Government's Seal</b>	<b>Name</b>	Jean Spratlin
	<b>Title</b>	Clerk of Commission-Unified Government of Athens-Clarke County
	<b>Phone #</b>	706-613-3031
	<b>Email</b>	Jean.spratlin@accgov.com
<b>Designated Notary who will Notarize the Executing and Attesting Officials' Signatures</b>	<b>Name</b>	Christine Howard
	<b>Title</b>	Assistant Clerk of Commission-Unified Government of Athens-Clarke County

	<b>Phone #</b>	706-613-3031
	<b>Email</b>	Christine.Howard@accgov.com
<b>Does your transit system employ 100 or more employees?</b>	Yes _____ No <u>xxx</u>	

## Part D: General Narrative Questions and Responses

### Goals and Objectives

Describe the current goals and objectives of Applicant Organization's transit system? Are any changes anticipated for FY2020?

See service standards attached

### Economic Impact

How does the Applicant Organization's transit system support the ability of citizens to reach educational institutions or places of employment?

Athens Clarke County Transit operates 19 routes throughout County. Many routes circulate through residential areas to primarily provide service to schools, UGA campus and in educational facilities and places of employment transit recently added flex route service to factories and other employment center, while other routes serve various commercial / retail hubs and activity centers throughout the service area.

Please provide examples, supporting data and/or metrics attesting to the economic impact of transit in the community

In FY18, the ACC Transit department provided over 1.6 million passenger trips, which allowed our citizens the ability to gain access to employment, education, health, medical, retail and social opportunities. While using these services, citizens are able to obtain goods and services, get to employment, improve their education, and thus, make money, spend money, pay taxes and bolster the local economy. While becoming more productive citizens.

### Transit Program Assets

Is the Applicant Organization's transit system anticipating any significant changes to the current inventory of capital assets over the next 3 years?

No

Are any new construction projects or rehabilitation activities anticipated over the next 3 years?

No new projects

### Maintenance

Are funds budgeted in the FY2020 application specifically for maintenance training?

Yes  No

What are the two most important bus and vehicle maintenance issues facing the Applicant Organization?

Aging Vehicle Fleet and Capital Maintenance

Are there sufficient non-monetary training resources available to meet the Applicant Organization's needs?

Yes  No

Does the Applicant Organization use an electronic/computerized vehicle maintenance system or software?

Yes  No

If so, what is the name of the vehicle and equipment maintenance software/system?

RTA

**Technical Assistance Needs**

Does the Applicant Organization have technical training needs in any of the following areas? Check as many as apply.

- Financial Management and Capacity – reimbursements, federal fund management
- Project Management – construction, equity analysis, NEPA
- Civil Rights – DBE, ADA, TITLE VI, EEO
- Satisfactory Continuing Control and Oversight
- NTD Sampling – 5307
- Drug & Alcohol Program
- Maintenance – Shop operations, State of Good Repair

**Financial Management**

Does the Applicant Organization have a current three-five year financial plan?

Yes  No

Does the Applicant Organization anticipate any new funding sources becoming available in the next three years such as SPLOSTs, Sales Tax changes, or other legislation?

Yes  No

If 'Yes', please describing the new funding?

SPLOST, TSPLOST, potential state Transit funding from the House Governance and Funding Commission

Does the Applicant Organization have any Purchase of Service (POS) or revenue contracts for DHS or Medicaid trips?

Yes  No

Does the Applicant Organization use an electronic/computerized accounting system or software?

Yes  No

If so, what is the name of the accounting software/system?

EDEN Project Accounting

**Applicant Service Data**

Applicant Organizations should complete Table 2 below with requested data points for the most recent fiscal year. Please indicate the reporting year in the space provided at the top of the right column.

**Table 2: Service Data**

Data Point	Fiscal Year Reported (FY 18)
One Way Passenger Trips (OWPTs) - Total	
Annual Vehicle Miles	
Peak Fleet Requirements	25
OWPTs - Purpose of Trip – Medical	
OWPTs - Purpose of Trip – Educational	
OWPTs - Purpose of Trip – Employment	
Cost per Revenue Mile	

## Part E: Title VI Data Collection and Reporting

All applicants for FTA Section 5307 funding are required to maintain and provide GDOT with certain information pertaining to Title VI. Please answer the following Title VI questions below.

### E-1: General Reporting Requirements

- 1.) In Table 3, list any active lawsuits or complaints naming the Applicant Organization which allege discrimination on the basis of race, color, or national origin with respect to service or other transit benefits. The list should include:
- The date the lawsuit or complaint was filed;
  - A summary of the allegation;
  - Any action taken thus far; and
  - The status of the lawsuit or complaint.

**Table 3: List of Title VI Complaints or Lawsuits**

Date (Month/Year)	Summary (include basis of complaint: race, color, or national origin)	Action Taken	Status
	N/A		

- 2.) Please provide a description of all pending applications for financial assistance, and financial assistance currently provided by other federal agencies.

None

- 3.) Please provide a summary of all civil rights compliance review activities conducted at the applicant's transit system in the last three years. The summary should include:
- The purpose or reason for the review:
  - The name of the agency or the organization that performed the review:
  - A summary of the findings and recommendations of the review:
  - A summary report on the status and/or disposition of such findings and recommendations.

N/A

## Part F: Capital Budget and Contracting Opportunities

Insert your response here:

The Projects receiving annual grants and matching dollars are for replacements and expansions of transit vehicles (buses, demand response vans, and support vehicles) capital maintenance items and equipment, spare parts, engines/transmission repair / replacement, major power plant components, ( turbos, radiators, generators, etc.) Transit facility improvements, and other various transit related capital equipment. This project corresponds to the 2015-2018 Transportation Improvement Plan (TIP) and the Madison Athens Clarke Oconee Regional Transportation Study (MACORTS) 2019-20 Second Tier projects listing and 2040 Long Range Plan Transportation Plan.

## Part G: Section 5307 Planning Assistance Funding

Insert your response here:

NA

## Part H: Section 5307 Operating Assistance Budget and Justification

Insert your response here:

Services to be provided will be a combination of fixed route, route deviation, and ADA Demand Response. This operating assistance will help the ACCGOV provide over 80,000 hours of service, throughout the extent of the ACC service area, 359 days of service, over more than 800,000 miles. The funding request is to continue existing services or minimal explanations of service into new areas.

### H-5: Basis of Operating Cost Estimates

WRITTEN  
RESPONSE

In this section, the Applicant should describe the basis of its operating cost estimate (for its own organization and/or TPO in H-1 and H2), such as historical trend analysis, recent procurements of TPO services, analysis of operating efficiencies, etc.

Insert your response here:

ACCTD has provide public transit service to this community for over 42 years. Our detailed line item budgets have been developed, refined and are validated annually to provide the best and most efficient services possible.

**H-6: Confirm the Requested Formula Funding (Federal) Amount and 1% Transit Security Requirement**

Insert your response here (if applicable):

Athens Clarke County Transit has installed security cameras on all revenue vehicles and at all transit facilities, installed fences and electronic gates, and electronic key card access to all doors. Additionally, the department employ's a private security contractor to provide on-site security guards at our facility. Therefore, the 1% capital expenditure is not necessary this year.

## Part I: Fixed Asset Inventory

**I-1: Status of Previously Awarded Fixed Asset Items - Pending and In-Process**

Please use this form to list any fixed asset procurements (from contracts already in place or FY 2019 contracts that are still being executed with GDOT) that have not yet been **PROCURED or RECEIVED**. Please, indicate the status of the item in the far right column and include items from both the 5307 and 5339 programs.

**Table 4: Status of Pending FTA Fixed Asset Procurements**

FTA Program No. (5307 or 5339)	GDOT Project Number	FY of Grant Application	Vehicle, Equipment, or Facility Improvement Requested in Grant (vehicle types, equipment type, facility improvement, etc.)	Status (i.e. yet to be submitted; awaiting GDOT executed contract, awaiting delivery, etc.)
5307	T006037	FY17	See attached spreadsheet	Buses on order, other projects underway
	T005853		See attached spreadsheet	Buses delivered, other projects underway
5339	T005955	FY17	On Going Bus stop Improvements , See attached spreadsheet	Under Construction

## Part J: Public Participation Requirements

### Public Notice

# Opportunity for Public Hearing for Section 5307 Capital Projects and Operating Assistance for the Athens Clarke County Transit Department under the Federal Transit Administration

Notice is hereby given affording an opportunity for a public hearing for the purpose of coordination of Section 5307 for public transportation services assisted with Federal sources and financial assistance is being sought from the Federal Transit Administration. The proposed project application is for Section 5307 capital assistance and operating assistance for FY2020.

Under Section 5307 a capital request for federal dollars in the amount of \$ 1,950,000.00, for express purchase of two 30 foot transit coaches w/wheelchair lifts, training & education, fare boxes & related items, spare parts, security & surveillance, maintenance tools/equipment, preventative maintenance and other associated capital items. The capital funding requested will be federal assistance in the amount of \$1,560,00.00 (80% Federal); state assistance in the amount of \$ 195,000.00 (10% match); and local assistance (10% match) in the amount of \$195,000.00. Operating assistance from the Federal Transit Administration will be in the amount of \$ 2,563,000.00 (50%) toward the cost of transit operations with \$ 2,563,000.00 (50% local match).

This proposed project is consistent with the areas Long Range Plan and conforms to joint Metropolitan Planning Regulations issued by FTA and FHWA. No persons, families or businesses will be displaced by this project. This project is in conformance with the comprehensive land use and transportation planning in this area.

The Unified Government of Athens-Clarke County Transit Department is affording an opportunity for interested persons, agencies, private transportation providers, human service organizations or transit operators representing the employment-related transportation needs of welfare recipients and low-income individuals to respond with respect to social, economic, and environmental aspects of the project. A copy of the grant application is available for public inspection in the office of the Agency Transit Department at 775 East Broad Street, Athens GA 30601).

A 15-day comment period will take place from December 10-Dec 24. Public comments may be mailed to or submitted in writing to the Athens-Clarke County Transit Department at 775 East Broad St. Athens, GA 30601, or emailed to [butch.mcduffie@accgov.com](mailto:butch.mcduffie@accgov.com). Any person interested in a public hearing being held should submit a written request by December 31, 2018 to the Unified Government of Athens Clarke County Ga Transit Department at 775 East Broad Street, Athens, GA 30601, to the attention of Butch McDuffie, Director.

**Athens Clarke County TRANSIT DEPARTMENT**

## Part K: Certification of No Intent to Charter Service

The Applicant Organization must provide the certification shown below and include the signature of the Authorized Official.

The Applicant Organization, Unified Government of Athens Clarke County, Georgia certifies that it does not intend to and will not provide charter service with Federal Transit Administration funded equipment and facilities or provide any exclusive service during the operating period of this application.

The Applicant also certifies that conveyance of government officials shall not exceed 80 hours in a given year and such services must also be reported to the Federal Transit Administration.

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Signature of Authorized Official

Nancy B. Denson, Mayor  
Printed Name and Title of Authorized Official

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Date

## Part L: Drug-Free Workplace and Drug and Alcohol Program

Applicant Organizations that are current Section 5307 funding recipients must complete the following certification. New (or first time) Applicant Organizations may not sign this certification until their program has been approved by GDOT.

I, Nancy B. Denson, Unified Government of Athens Clarke County, Georgia *Mayor* certify that Unified Government of Athens Clarke County, Georgia (*Applicant Organization*) and its contractors, as required, for the Section 5307 Program, has established and implemented an alcohol misuse prevention program and anti-drug abuse program in accordance with the terms of 49 CFR Part 40 and Part 655. I further certify that the employee training conducted under this part meets the requirements of 49 CFR, Part 40 and Part 655.

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Signature of Authorized Official

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Date

## Part M: Drug-Free Workplace Certification for Public and Private Entities

Applicant Organizations must complete the following certification and include the signature of the Authorized Official.

The Unified Government of Athens Clarke County, Georgia certifies that it will provide a drug-free workplace as specified in U.S. Department of Transportation's (DOT) rule, 49 CFR Part 40 and 655, which describes required procedures for conducting workplace drug and alcohol testing for FTA programs, including:

- A) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- B) Establishing an ongoing drug-free awareness program to inform employees about:
  - The dangers of drug abuse in the workplace;
  - The Applicant's policy of maintaining a drug-free workplace;
  - Any available drug counseling, rehabilitation, and employee assistance programs; and, the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- B) Making it a requirement that each employee to be engaged in the performance of the grant or cooperative agreement be given a copy of the statement required by paragraph (A);
- C) Notifying the employee in the statement required by paragraph (A) that, as a condition of employment under the grant or cooperative agreement, the employee will abide by the terms of the statement; and notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- D) Notifying the Federal agency in writing, within ten calendar days after receiving notice under subparagraph (D) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every project officer or other designee on whose project activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant or cooperative agreement;
- F) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (D), with respect to any employee who is so convicted:
  - Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

- G) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A), (B), (C), (D), (E), and (F).

The Applicant's headquarters are located at the following address:

Name of Applicant Organization: Unified Government of Athens Clarke  
County, Georgia  
Name of Authorized Official: Nancy B. Denson  
Address: 301 College Ave.  
City: County: State: Zip Code Athens, GA 30601

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_ Nancy B. Denson  
Printed Name of Authorized Official

\_\_\_\_\_  
Date

## Part N: Certification of Equivalent Access for Persons with Disabilities

Applicant Organization is required to sign this certification **only if** the organization is requesting the purchase of a vehicle without disability access features (i.e. wheelchair lift) as required in 49 CFR Part 38).

**NA**

I hereby certify that when viewed in its entirety, the demand-responsive transportation program of \_\_\_\_\_ (legal name of entity) provides disabled persons with access equal to that afforded to any other persons in terms of the following criteria:

- Response time,
- Fares (demand response system cannot charge higher fare for wheelchair boarding),
- Geographic area of service,
- Hours and days of service,
- Restrictions based on trip purpose,
- Availability of information and reservations capabilities, and
- Constraints on capacity or service availability.

Public Demand Response Agencies: In accordance with 49 CFR 37.77, public funded entities operating demand responsive systems for the general public which receive financial assistance under Section 18 of the Federal Transit Act must file this certification with the appropriate state program office before procuring any inaccessible vehicle. Public entities receiving FTA funds under any other section of the FTA Act must file the certification with the appropriate FTA regional office.

Certified this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Signature of Authorized Official

Nancy B. Denson, Mayor\_  
Title of Authorized Official

\_\_\_\_\_  
Date

## Part O: FTA Civil Rights Assurance

Applicant Organization must complete the following certification and include the signature of the Authorized Official.

The \_ Unified Government of Athens Clarke County, Georgia \_ (legal name of entity) hereby certifies that, as a condition of receiving Federal financial assistance under the Federal Transit Act, the organization will ensure that:

- No person on the basis of race, color, or national origin, will be subjected to discrimination in the level and quality of transportation services and transit related benefits.
- The Unified Government of Athens Clarke County, Georgia \_ (legal name of entity) will compile, maintain, and submit in a timely manner Title VI information required by FTA Circular 4702.1B and in compliance with the Department of Transportation's Title VI regulation, 49 CFR Part 21.7(a).
- The \_ Unified Government of Athens Clarke County, Georgia (legal name of the entity) will make it known to the public that those persons alleging discrimination on the basis of race, color, or national origin as it relates to the provision of transportation services and transit-related benefits may file a complaint with the Federal Transit Administration and/or the U.S. Department of Transportation.

The Applicant/Recipient assures that it will comply with the following laws and regulations so that no person in the United States will be denied the benefits of, or otherwise be subjected to discrimination in any U.S. DOT or FTA funded program or activity (particularly in the level and quality of transportation services and transportation-related benefits on the basis of race, color, national origin, religion, sex, disability, or age:

- Federal transit laws, specifically 49 U.S.C. 5332, as amended by MAP-21 (prohibiting discrimination on the basis of race, color, religion, national origin, sex, disability, or age, and in employment or business opportunity),
- Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. 2000d,
- The Rehabilitation Act of 1973, as amended, 29 U.S.C. 794, *et seq.*,
- The Americans with Disabilities Act of 1990, as amended, 42 U.S.C. 12101 *et seq.*,
- U.S. DOT regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation-Effectuation of Title VI of the Civil Rights Act of 1964," 49 CFR part 21.7(a),
- U.S. DOT regulations, specifically 49 CFR parts 27, 37, 38, and 39, and
- Any other applicable Federal statutes that may be signed into law or Federal regulations that may be promulgated,

As required by 49 CFR 21.7:

- It will comply with Federal guidance implementing Federal nondiscrimination laws and regulations, except to the extent FTA determines otherwise in writing, with 49 U.S.C. 5332, as amended by MAP-21, 42 U.S.C. 2000d, and 49 CFR Part 21 in the manner it conducts each Project, undertakes property acquisitions, and operates its Project facilities, including: its entire facilities and its facilities operated in connection with its Project. This assurance applies to your Applicant/Recipient's entire Project and to all parts of its facilities, including the facilities it operates to implement its Project,

- It will promptly take the necessary actions to carry out this assurance, including: notifying the public that discrimination complaints about transportation-related services or benefits may be filed with U.S. DOT or FTA, and submitting information about its compliance with these provisions to U.S. DOT or FTA upon their request,
- If it transfers FTA funded real property, structures, or improvements to another party, any deeds and instruments recording that transfer will contain a covenant running with the land assuring nondiscrimination: (1) while the property is used for the purpose that the Federal funding is extended, and (2) while the property is used for another purpose involving the provision of similar services or benefits,
- It will make any changes in its Title VI implementing procedures as U.S. DOT or FTA may request to comply with Title VI of the Civil Rights Act, 42 U.S.C. 2000d, U.S. DOT regulations, 49 CFR part 21, and Federal transit laws, 49 U.S.C. 5332, as amended by MAP-21,
- It will comply with Federal guidance issued to implement Federal nondiscrimination requirements, except as FTA determines otherwise in writing,
- It will extend the requirements of 49 U.S.C. 5332, as amended by MAP-21, 42 U.S.C. 2000d, and 49 CFR part 21 to each Third Party Participant, including: (1) Any Subrecipient, (2) Any Transferee, (3) Any Third Party Contractor or Subcontractor at any tier, (4) Any Successor in Interest, (5) Any Lessee, or (6) Any other Third Party Participant in its Project,
- It will include adequate provisions to extend the requirements of 49 U.S.C. 5332, as amended by MAP-21, 42 U.S.C. 2000d, and 49 CFR part 21 to each third party agreement, including: (1) Each sub agreement, (2) Each property transfer agreement, (3) Each third party contract or subcontract at any tier, (4) Each lease, or (5) Each participation agreement, and

As required by U.S. DOT regulations, “Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance,” 49 CFR part 27, specifically 49 CFR 27.9, and consistent with 49 U.S.C. 5307(c)(1)(D)(iii), as amended by MAP-21, the Applicant/Recipient assures that:

1. It will comply with the following prohibitions against discrimination on the basis of disability, which are a condition of approval or extension of any FTA funding awarded to: (1) Construct any facility, (2) Obtain any rolling stock or other equipment, (3) Undertake studies, (4) Conduct research, or (5) Participate in or obtain any benefit from any FTA administered program, and
2. In any program or activity receiving or benefiting from Federal funding that U.S. DOT administers, no otherwise qualified people with a disability will, because of their disability, be: (1) Excluded from participation, (2) Denied benefits, or (3) Otherwise subjected to discrimination.

The United States has a right to seek judicial enforcement of any matter arising under Title VI of the Civil Rights Act, 42 U.S.C. 2000d, U.S. DOT regulations, 49 CFR Part 21, and this assurance.

The assurances made will remain in effect as long as: (1) Federal funding is extended to your Project, (2) Project property is used for a purpose for which the Federal funding is extended, (3) Project

property is used for a purpose involving the provision of similar services or benefits, or (4) Ownership or possession is retained of its Project property.

The person whose signature appears below is authorized to sign this assurance on behalf of the recipient.

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Signature of Authorized Official

  Nancy B. Denson Mayor    
Name and Title of Authorized Official

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Date

## Part P: Debarment and Suspension

If the Applicant Organization is requesting funding exceeding \$25,000, the Applicant must provide the following certification, including the signature of the Authorized Official.

U.S. DOT regulations, "Non-procurement Suspension and Debarment," 2 CFR Part 1200, which adopts and supplements the provisions of U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Government-wide Debarment and Suspension (Non-procurement)," 2 CFR part 180, permit certifications to assure the Applicant/Recipient acknowledges that:

The Applicant/Recipient certifies to the best of its knowledge and belief that, it, its principals, and first tier sub-recipients:

- a. Are eligible to participate in covered transactions of any Federal department or agency and are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded, or disqualified.
- b. Have not within a three-year period preceding its latest application or proposal been convicted of or had a civil judgment rendered against any of them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction, or contract under a public transaction, violation of any Federal or State antitrust statute, or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making any false statement, or receiving stolen property.
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses listed in the preceding Section 'a' of this certification.
- d. Have not had one or more public transactions (Federal, State, or local) terminated for cause or default within a three-year period preceding this certification.
- e. Will promptly provide any information to the FTA if at a later time any information contradicts the statements of subparagraphs above, and
- f. Will treat each lower tier contract or lower tier subcontract under the Project as a covered lower tier contract for purposes of 2 CFR part 1200 and 2 CFR part 180 if it equals or exceeds \$25,000, is for audit services, or requires the consent of a Federal official.
- g. Will require that each covered lower tier contractor and subcontractor comply and facilitate compliance with the Federal requirements of 2 CFR parts 180 1200, and assure that each lower tier participant in the Project is not presently declared by any Federal department or agency to be:
  - Debarred from participation in the federally funded project,
  - Suspended from participation in the federally funded project,
  - Proposed for debarment from participation in the federally funded project,
  - Declared ineligible to participate in the federally funded project,
  - Voluntarily excluded from participation in the federally funded project, or
  - Disqualified from participation in the federally funded Project.

The Applicant/Recipient will promptly provide a written explanation to GDOT if it or any of its principals, including any of its first tier sub-recipients or lower tier participants, is unable to certify to the preceding statements in this certification.

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Signature of Authorized Official

\_MAYOR\_  
Title of Authorized Official

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Date

## Part Q: Disadvantaged Business Enterprise (DBE) Semi-Annual Reporting

The Applicant Organization must complete the following certification that it will provide the required semi-annual DBE reports to GDOT on May 1<sup>st</sup> and November 1<sup>st</sup> of each year.

The Applicant, \_ Unified Government of Athens Clarke County, Georgia \_ (legal name of entity) will provide the required FTA Semi-Annual Disadvantaged Business Enterprise (DBE) Program Report, referred to as the Uniform Report of DBE Commitments/Award and Payments is a requirement of 49 CFR Part 26. The semi-annual DBE report is a spreadsheet that captures a detailed breakdown of DBE participation in the Georgia Department of Transportation's DBE program.

\_\_\_\_Nancy B. Denson\_\_\_\_  
Authorized Official

\_\_\_\_Mayor\_\_\_\_  
Title of Authorized Official

\_\_\_\_\_  
Date

## Part R: Lobbying Restrictions

The lobbying requirements apply to all contracts and subcontracts of \$100,000 or more at any tier under a Federal grant. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this agreement, the payor must complete and submit the Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

### **The undersigned certifies, to the best of his or her knowledge and belief, that:**

1. No Federally appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement .
2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code . Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_Nancy B. Denson Mayor\_\_\_\_  
Name and Title of Subrecipient's Authorized Official

\_\_\_\_\_  
Signature of Subrecipient's Authorized Official

\_\_\_\_\_  
Date

## Part S: FTA Certifications and Assurances

As part of this grant application package, all applicants must attach a signed copy of the most recent available FTA Certifications and Assurances (FY 2018) included as the following two pages.

- The full FTA FY 2018 Certifications and Assurances document is available at :  
<https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/funding/grants/grantee-resources/114591/2018-certifications-and-assurances.pdf>
- The FTA FY 2018 Certifications and Assurances sheet listing all of the relevant documents should be marked with a check mark (v) showing that ALL categories numbered 01 through 23 are being certified by your organization.
- Original signatures must be placed on the FTA Fiscal Year 2018 Certifications and Assurances page which includes the “Affirmation of Applicant” and “Affirmation of the Applicant’s Attorney”.

**FEDERAL FISCAL YEAR 2018 CERTIFICATIONS AND ASSURANCES  
FOR FEDERAL TRANSIT ADMINISTRATION ASSISTANCE PROGRAMS**  
(Signature pages alternative to providing Certifications and Assurances in TrAMS)

**Name of Applicant:** Unified Government of Athens Clarke County, Georgia

**The Applicant agrees to comply with applicable provisions of Categories 01 – 21. XXX**

**OR**

**The Applicant agrees to comply with applicable provisions of the Categories it has selected:**

<u>Category</u>	<u>Description</u>	XX
01.	Required Certifications and Assurances for Each Applicant.	_____
02.	Lobbying.	_____
03.	Private Sector Protections.	_____
04.	Rolling Stock Reviews and Bus Testing.	_____
05.	Demand Responsive Service.	_____
06.	Intelligent Transportation Systems.	_____
07.	Interest and Financing Costs and Acquisition of Capital Assets by Lease.	_____
08.	Transit Asset Management Plan, Public Transportation Safety Program, and State Safety Oversight Requirements.	_____
09.	Alcohol and Controlled Substances Testing.	_____
10.	Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement).	_____
11.	State of Good Repair Program.	_____
12.	Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.	_____
13.	Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.	_____
14.	Enhanced Mobility of Seniors and Individuals with Disabilities Programs.	_____
15.	Rural Areas and Appalachian Development Programs.	_____
16.	Tribal Transit Programs (Public Transportation on Indian Reservations Programs).	_____
17.	State Safety Oversight Grant Program.	_____
18.	Public Transportation Emergency Relief Program.	_____
19.	Expedited Project Delivery Pilot Program.	_____
20.	Infrastructure Finance Programs.	_____
21.	Construction Hiring Preferences.	_____

**FEDERAL FISCAL YEAR 2018 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE**

(Required of all Applicants for federal assistance to be awarded by FTA in FY 2018)

**AFFIRMATION OF APPLICANT**

Name of the Applicant: Unified Government of Athens Clarke County, Georgia

Name and Relationship of the Authorized Representative: Nancy B. Denson, Mayor

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2018, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded during federal fiscal year 2018.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

Name Nancy B. Denson, Mayor Authorized Representative of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): Unified Government of Athens Clarke County, Georgia

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature \_\_\_\_\_

Date: \_\_\_\_\_

Name \_\_\_\_\_

Attorney for Applicant

*Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.*